

CITY OF ROCHESTER - MN VENDOR RISK FORM

		YES	NO	If YES	VENDOR RESPONSES
1	Do you have current compliance Certificates (SOC, ISO, Etc.)?			Indicate which type of certificate(s). Then proceed to question 9.	
				If NO	VENDOR RESPONSES
2				Does your organization have a written security policy?	
3				Are you able to provide us with a copy of your policy?	
4				Do you perform background checks on all employees?	
5				Has your organization undergone any security audits in the last 12 months?	
6				Please provide the date of the last audit and the organization conducting the audit.	
7				Are there critical findings from the audit that have not been resolved?	
8				How do you ensure secure disposal of systems and data?	
		YES	NO	If YES	VENDOR RESPONSES
9	Does your organization store any data offshore?			If yes, where is the data stored?	
		YES	NO	If YES	VENDOR RESPONSES
10	Have your publicly accessible web portals (web apps, etc.) undergone penetration testing?			If yes, please indicate the date, who conducted the tests and whether there are any unresolved critical findings. Please attach executive summary report	
		YES	NO	If YES	VENDOR RESPONSES
11	Does your application or system keep a signed audit trail of which users performed what actions and when, both through the UI and			If yes, for how long are the logs retained?	
		VENDOR RESPONSES			
12	How is customer information used internally?				
13	How soon after a request for removal of all or a portion of my information will you remove the same from your systems and backups?				
		YES	NO	VENDOR RESPONSES	
14	Are critical and high risk patches applied to operating systems, and software/applications within 30 days of release? If, no indicate how soon after release they are applied?				
15	Does your organization use only Operating Systems and applications that are currently vendor-supported with respect to security patches/updates?				
15	In the event that your organization is breached, how soon will we be notified?				

NAME

ORGANIZATION & TITLE

SIGNATURE

DATE